

Report Identification Number: NY-20-038

Prepared by: New York City Regional Office

Issue Date: Oct 19, 2020

This report, prepared pursuant to section 20(5) of the Social Services Law (SSL), concerns: A report made to the New York Statewide Central Register of Child Abuse and Maltreatment (SCR) involving the death of a child.
The death of a child for whom child protective services has an open case.
The death of a child whose care and custody or custody and guardianship has been transferred to an authorized agency.
The death of a child for whom the local department of social services has an open preventive service case.

The Office of Children and Family Services (OCFS) is mandated by section 20 of the SSL to investigate or cause for the investigation of the cause and circumstances surrounding the death, review such investigation, and prepare and issue a fatality report in regard to the categories of deaths noted above involving a child, except where a local or regional fatality review team issues a report, as authorized by law.

Such report must include: the cause of death; the identification of child protective or other services provided or actions taken regard to such child and child's family; any extraordinary or pertinent information concerning the circumstances of the child's death; whether the child or the child's family received assistance, care or services from the social services district prior to the child's death; any action or further investigation undertaken by OCFS or the social services district since the child's death; and as appropriate, recommendations for local or state administrative or policy changes.

This report contains no information that would identify the deceased child, his or her siblings, the parent, parents, or other persons legally responsible for the child, and any members of the deceased child's household.

By statute, this report will be forwarded to the social services district, chief county executive officer, chairperson of the local legislative body of the county where the child died and the social services district that had legal custody of the child, if different. Notice of the issuance of this report will be sent to the Speaker of the Assembly and the Temporary President of the Senate of the State of New York.

This report may <u>only</u> be disclosed to the public by OCFS pursuant to section 20(5) of the SSL. It may be released by OCFS only after OCFS has determined that such disclosure is not contrary to the best interests of the deceased child's siblings or other children in the household.

OCFS' review included an examination of actions taken by individual caseworkers and supervisors within the social services district and agencies under contract with the social services district. The observations and recommendations contained in this report reflect OCFS' assessment and the performance of these agencies.



Abbreviations

Relationships						
BM-Biological Mother		SC-Subject Child				
BF-Biological Father	SF-Subject Father	OC-Other Child				
MGM-Maternal Grand Mother	MGF-Maternal Grand Father	FF-Foster Father				
PGM-Paternal Grand Mother	PGF-Paternal Grand Father	DCP-Day Care Provider				
MGGM-Maternal Great Grand Mother	MGGF-Maternal Great Grand Father	PGGF-Paternal Great Grand Father				
PGGM-Paternal Great Grand Mother	MA/MU-Maternal Aunt/Maternal Uncle	PA/PU-Paternal Aunt/Paternal Uncle				
FM-Foster Mother	SS-Surviving Sibling	PS-Parent Sub				
CH/CHN-Child/Children	OA-Other Adult					
	Contacts					
LE-Law Enforcement	CW-Case Worker	CP-Case Planner				
DrDoctor	ME-Medical Examiner	EMS-Emergency Medical Services				
DC-Day Care	FD-Fire Department	BM-Biological Mother				
CPS-Child Protective Services						
	Allegations					
FX-Fractures	II-Internal Injuries	L/B/W-Lacerations/Bruises/Welts				
S/D/S-Swelling/Dislocation/Sprains	C/T/S-Choking/Twisting/Shaking	B/S-Burns/Scalding				
P/Nx-Poisoning/ Noxious Substance	XCP-Excessive Corporal Punishment	PD/AM-Parent's Drug Alcohol Misuse				
CD/A-Child's Drug/Alcohol Use	LMC-Lack of Medical Care	EdN-Educational Neglect				
EN-Emotional Neglect	SA-Sexual Abuse	M/FTTH-Malnutrition/Failure-to-thrive				
IF/C/S-Inadequate Food/ Clothing/ Shelter	IG-Inadequate Guardianship	LS-Lack of Supervision				
Ab-Abandonment	OTH/COI-Other					
	Miscellaneous					
IND-Indicated	UNF-Unfounded	SO-Sexual Offender				
Sub-Substantiated	Unsub-Unsubstantiated	DV-Domestic Violence				
LDSS-Local Department of Social	ACS-Administration for Children's	NYPD-New York City Police				
Service	Services	Department				
PPRS-Purchased Preventive Rehabilitative Services	TANF-Temporary Assistance to Needy Families	FC-Foster Care				
MH-Mental Health	ER-Emergency Room	COS-Court Ordered Services				
OP-Order of Protection	RAP-Risk Assessment Profile	FASP-Family Assessment Plan				
FAR-Family Assessment Response	Hx-History	Tx-Treatment				
CAC-Child Advocacy Center	PIP-Program Improvement Plan	yo- year(s) old				
CPR-Cardiopulmonary Resuscitation	ASTO-Allowing Sex Abuse to Occur					



Case Information

Report Type: Child Deceased **Jurisdiction:** Bronx **Date of Death:** 05/02/2020

Age: 5 month(s) Gender: Male Initial Date OCFS Notified: 05/02/2020

Presenting Information

The report alleged on 5/2/20 at 1:02 AM, the SC was pronounced dead at the hospital. On 4/2/20, the SC developed a cold, cough, other symptoms. The symptoms persisted until late April when he became unresponsive and was transported by ambulance to the hospital where he was treated and released. He developed a lack of appetite and shortness of breath. On 4/28/20, the SC was admitted to the hospital and was discharged two days later. The parents were advised to follow-up with the physician.

On 5/1/20, at 9:00 PM, the SM placed the SC on an adult bed to sleep. At 11:30 PM, the SM found the SC unresponsive, 911 was called and the MGGM initiated CPR. EMS responded and transported the SC to the hospital. The home was unsanitary and had a foul odor with dog feces and urine on the floor.

Executive Summary

ACS responded to the 5/2/20 report within the required timeframe by contacting Jacobi Hospital (JH), LE and EMS. The allegations were DOA/fatality, IG, LMC and IF/C/S of the SC by the teen-SM and the MGM; IG and LMC of the SC by the MGGM. The 5/2/20 report was subsequent to an initial report dated 3/21/20; the SM and SF engaged in an altercation in the presence of the SC and the MGM's four children (also referred to as the SC's MAs and MU). The allegations of the initial report were IG of the SC and the MAs and MU by the SM, SF and the MGM. The SC resided in the home with the SM, MGM, the MGM's four children and the MGGM. The SC had no siblings and the SF did not reside in the home. The 3/21/20 investigation resulted in an OP for the SM and the SF against each other; however, not the SC.

ACS interviewed a medical professional who reported the SC arrived at the ER at 12:22 AM and had a temperature of 95.5. CPR efforts failed and he was pronounced dead at 1:02 AM on 5/2/20. The medical professional reported no visible injuries were found on the SC but stated there was blood on the SC's nose. It was also reported that the SC was examined at JH four times in the last four months and the fourth time was three days prior to his death when he was admitted, treated for illness and discharged the following day. The SM was advised to follow-up with the SC's physician.

ACS interviewed LE who reported the SM stated she put the SC to sleep at 11:00 PM and stepped out of the room for a short time. She returned to check him at 11:30 PM and found him limp, blue in color and he had blood on his nose. LE found no criminality and closed the case.

On 5/2/20, ACS interviewed the MGM and MGGM in the home during the initial visit; the SM declined to talk to ACS stating she discussed the incident too many times. All family members were at home and the children were deemed safe. The ACS case record reflected the home was clean, and the family had an adequate amount of food. The family housed two dogs and two cats.

The grandparents' accounts were similar. The grandparents reported the SC was ill, the SC frequently cried and often had an elevated temperature. They reported the SM, or the MGM gave the SC Tylenol as instructed by the physician. On the eve of his death, from 8:30 PM to 9:00 PM, the MGM soothed him as he cried and fell asleep, and the SM placed him in his crib. The SM moved the SC to her bed and placed him faced down and propped on top of a small pillow placed from waist up toward his head which was turned to the left. Approximately 30 minutes later, the SM checked the SC and found him limp. The MGM called 911 and was instructed to initiate CPR which the SM initiated and found the SC had a slight pulse. EMS responded and transported the SC to JH. According to the MGM, during one of the hospital visits, the SC

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tested positive for COVID 19 virus and later tested negative. The information received from JH revealed the SC tested negative for the virus. The ME had not released the findings of the autopsy at the time this report was written.

The three MAs and the MU were asleep in their respective rooms at the time of the discovery and were not aware of the incident until after it occurred. The SM received PPRS and mental health services and was advised to contact the mobile crisis unit if necessary. The school-aged children were engaged online with school.

On 7/1/20, ACS unsubstantiated the allegations of the report stating no credible evidence was found to support that the parents put the SC's physical or emotional wellbeing at risk of abuse or maltreatment.

	Findings Related to the CPS Investigation of the	ne Fatality
Safety •	Assessment: Was sufficient information gathered to make the decision recorded on	
	the:	
	 Approved Initial Safety Assessment? 	Yes
	o Safety assessment due at the time of determination?	Yes
•	Was the safety decision on the approved Initial Safety Assessment appropriate?	Yes
Deterr	mination:	
•	Was sufficient information gathered to make determination(s) for all allegations as well as any others identified in the course of the investigation?	Yes, sufficient information was gathered to determine all allegations.
•	Was the determination made by the district to unfound or indicate appropriate?	Yes
Was tl	ne decision to close the case appropriate?	Yes
	asework activity commensurate with appropriate and relevant statutory ulatory requirements?	Yes
Was tl	nere sufficient documentation of supervisory consultation?	Yes, the case record has detail of the consultation.
Expla N/A	in:	
	Required Actions Related to the Fatality	

Fatality-Related Information and Investigative Activities

Incident Information

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Adults: 0

Child Fatality Report

Date of Death: 05/02/2020	Tin	ne of Death: 01:02 AM	
Date of fatal incident, if different tl	han date of death:		05/01/2020
Time of fatal incident, if different t	han time of death:		11:45 PM
County where fatality incident occ	urred:		Bronx
Was 911 or local emergency number	er called?		Yes
Time of Call:			11:45 PM
Did EMS respond to the scene?			Yes
At time of incident leading to death	n, had child used alcohol or	drugs?	N/A
Child's activity at time of incident:			
	Working	Driving / V	Vehicle occupant
☐ Playing	☐ Eating	Unknown	
Other			
Did child have supervision at time	of incident leading to deatl	h? Yes	
At time of incident supervisor was:	Not impaired.		
Total number of deaths at incident Children ages 0-18: 1	event:		

Household Composition at time of Fatality

Household	Relationship	Role	Gender	Age
Deceased Child's Household	Aunt/Uncle	No Role	Female	15 Year(s)
Deceased Child's Household	Aunt/Uncle	No Role	Male	14 Year(s)
Deceased Child's Household	Aunt/Uncle	No Role	Female	9 Year(s)
Deceased Child's Household	Aunt/Uncle	No Role	Female	9 Year(s)
Deceased Child's Household	Deceased Child	Alleged Victim	Male	5 Month(s)
Deceased Child's Household	Grandparent	Alleged Perpetrator	Female	37 Year(s)
Deceased Child's Household	Grandparent	Alleged Perpetrator	Female	67 Year(s)
Deceased Child's Household	Mother	Alleged Perpetrator	Female	17 Year(s)
Deceased Child's Household	Unrelated Home Member	No Role	Male	38 Year(s)

LDSS Response

The ACS' case documentation reflected the details of the events that led to the SC's demise. The SM reported that the last four months of the SC's life, he was ill, his condition improved for a short time and then worsened. The SM stated that in April of 2020, an assigned physician told her the SC tested positive for COVID 19 and later, tested negative.

On 5/1/20, at approximately 7:00 PM, the SC was ill, the SM administered Tylenol, fed and put him to sleep in the crib. He awoke screaming and from 8:30 PM to 9:00 PM, the MGM placed him face down across her legs and soothed him until he returned to sleep. At approximately 11:00 PM the SM put the SC on her adult bed; he was face down, propped on



a small pillow, with his head turned to the side. At approximately 11:30 PM, the SM found the SC unresponsive, in the same position. The SM alerted the MGM who observed blood on his nose and a weak pulse. The MGM called 911 and the operator instructed her to administer CPR that the SM applied until EMS arrived. EMS transported the SC, to JH while LE examined the home and interviewed the family. LE transported the SM to JH and she was told the SC was pronounced dead at 1:02 PM on 5/2/20.

On 5/3/20, ACS interviewed the medical professional who reported information similar to that of the family. The SC had no pre-existing medical conditions; however, he was seen five times since birth. On 12/14/19, the SC was treated for an illness, and on 2/13/20 he was prescribed medication. On 3/12/20 and 4/5/20 he was seen for a cough and other symptoms; no medications were prescribed. On 4/28/20, the SC was admitted to JH due to illness; he was prescribed Motrin and discharged on 4/30/20. During his stay, he was tested for COVID 19. He was seen again and tested again on 5/2/20; however, none of those results were documented.

ACS interviewed the children in the home separately in addition to the MGGM and they gave similar accounts. The children and MGGM were asleep at the time of the discovery and they were later told the SC was ill. The children reported that at times they cared for and fed the SC and observed either the SM or MGM administer medicine to the SC. They said the SC slept in his crib or in the SM's bed. They knew the SC was ill. Per ACS progress notes, no other household member was ill. The BF was aware the SC was ill and he stated that he did not understand the cause of death.

ACS' case documentation reflected the SM had the necessary provisions for the SC, and she and the MGM received safe sleep practices instruction. ACS verified that the MGM's children attended online school as required. Throughout the investigation, the home was deemed clean, organized and roach infested. The MGGM admitted she smoked marijuana during the mornings and not in the presence of the children. The MGM planned with her male paramour, and the MGGM agreed to be in the home with the children to avoid any impact to the children.

On 7/1/20, ACS unsubstantiated the allegations of the report. ACS explained that no credible evidence was found to support that the parents put the SC's physical and emotional wellbeing at risk of abuse/maltreatment.

Official Manner and Cause of Death

Official Manner: Pending

Primary Cause of Death: Pending

Person Declaring Official Manner and Cause of Death: Medical Examiner

Multidisciplinary Investigation/Review

Was the fatality investigation conducted by a Multidisciplinary Team (MDT)? No

Comments: The investigation adhered to previously approved protocols for joint investigation.

Was the fatality reviewed by an OCFS approved Child Fatality Review Team? No

Comments: There is no OCFS approved Child Fatality Review Team in NYC.

SCR Fatality Report Summary

Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome
054892 - Deceased Child, Male, 5	054903 - Grandparent, Female, 67	Inadequate Food / Clothing /	Unsubstantiated
Mons	Year(s)	Shelter	

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054892 - Deceased Child, Male, 5	05/1903 - Grandparent Female 67	Inadequate Guardianship	Unsubstantiated
Mons	Year(s)	madequate Guardiansinp	Clisuostantiated
054892 - Deceased Child, Male, 5	054902 - Grandparent, Female, 37	Inadequate Guardianship	Unsubstantiated
Mons	Year(s)		
054892 - Deceased Child, Male, 5	054901 - Mother, Female, 17	Inadequate Guardianship	Unsubstantiated
Mons	Year(s)		
054892 - Deceased Child, Male, 5	054902 - Grandparent, Female, 37	DOA / Fatality	Unsubstantiated
Mons	Year(s)		
054892 - Deceased Child, Male, 5	054902 - Grandparent, Female, 37	Inadequate Food / Clothing /	Unsubstantiated
Mons	Year(s)	Shelter	
054892 - Deceased Child, Male, 5	054902 - Grandparent, Female, 37	Lack of Medical Care	Unsubstantiated
Mons	Year(s)		
054892 - Deceased Child, Male, 5	054901 - Mother, Female, 17	DOA / Fatality	Unsubstantiated
Mons	Year(s)		
054892 - Deceased Child, Male, 5	054901 - Mother, Female, 17	Inadequate Food / Clothing /	Unsubstantiated
Mons	Year(s)	Shelter	
054892 - Deceased Child, Male, 5	054901 - Mother, Female, 17	Lack of Medical Care	Unsubstantiated
Mons	Year(s)		

CPS Fatality Casework/Investigative Activities

	Yes	No	N/A	Unable to Determine
All children observed?	\boxtimes			
When appropriate, children were interviewed?	\boxtimes			
Alleged subject(s) interviewed face-to-face?	\boxtimes			
All 'other persons named' interviewed face-to-face?	\boxtimes			
Contact with source?	\boxtimes			
All appropriate Collaterals contacted?	\boxtimes			
Was a death-scene investigation performed?	\boxtimes			
Was there discussion with all parties (youth, other household members, and staff) who were present that day (if nonverbal, observation and comments in case notes)?			\boxtimes	
Coordination of investigation with law enforcement?	\boxtimes			
Did the investigation adhere to established protocols for a joint investigation?	\boxtimes			
Was there timely entry of progress notes and other required documentation?	\boxtimes			

Fatality Safety Assessment Activities

	Yes	No	N/A	Unable to Determine
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Were there any surviving siblings or other children in the household?	\boxtimes					
Was there an adequate assessment of impending or immediate danger to shousehold named in the report:	surviving	siblings/o	ther chil	dren in the		
Within 24 hours?	\boxtimes					
At 7 days?	\boxtimes					
At 30 days?	\boxtimes					
Was there an approved Initial Safety Assessment for all surviving siblings/ other children in the household within 24 hours?	\boxtimes					
Are there any safety issues that need to be referred back to the local district?						
When safety factors were present that placed the surviving siblings/other children in the household in impending or immediate danger of serious harm, were the safety interventions, including parent/caretaker actions adequate?	\boxtimes					
Fatality Risk Assessment / Risk Assessment Profile						
	Yes	No	N/A	Unable to Determine		
Was the risk assessment/RAP adequate in this case?	Yes	No	N/A			
During the course of the investigation, was sufficient information gathered to assess risk to all surviving siblings/other children in the		No	N/A			
During the course of the investigation, was sufficient information gathered to assess risk to all surviving siblings/other children in the household?		No	N/A			
During the course of the investigation, was sufficient information gathered to assess risk to all surviving siblings/other children in the household? Was there an adequate assessment of the family's need for services? Did the protective factors in this case require the LDSS to file a petition		No	N/A			
Was the risk assessment/RAP adequate in this case? During the course of the investigation, was sufficient information gathered to assess risk to all surviving siblings/other children in the household? Was there an adequate assessment of the family's need for services? Did the protective factors in this case require the LDSS to file a petition in Family Court at any time during or after the investigation? Were appropriate/needed services offered in this case			N/A			
During the course of the investigation, was sufficient information gathered to assess risk to all surviving siblings/other children in the household? Was there an adequate assessment of the family's need for services? Did the protective factors in this case require the LDSS to file a petition in Family Court at any time during or after the investigation?			N/A			
During the course of the investigation, was sufficient information gathered to assess risk to all surviving siblings/other children in the household? Was there an adequate assessment of the family's need for services? Did the protective factors in this case require the LDSS to file a petition in Family Court at any time during or after the investigation? Were appropriate/needed services offered in this case Explain: The family received casework counseling.			N/A			
During the course of the investigation, was sufficient information gathered to assess risk to all surviving siblings/other children in the household? Was there an adequate assessment of the family's need for services? Did the protective factors in this case require the LDSS to file a petition in Family Court at any time during or after the investigation? Were appropriate/needed services offered in this case Explain:			N/A			

Did the safety factors in the case show the need for the surviving siblings/other children in the household be removed or placed in foster care at any time during this fatality investigation?

Were there surviving children in the household that were removed either as a result of this fatality report / investigation or for reasons unrelated to this fatality?



The children remained in the home with the MGM.

Legal Activity Related to the Fatality

Was there legal activity as a result of the fatality investigation? There was no legal activity.

Services Provided to the Family in Response to the Fatality

Services	Provided After Death	Offered, but Refused	Offered, Unknown if Used	Not Offered	Needed but Unavailable	N/A	CDR Lead to Referral
Bereavement counseling							
Economic support						\boxtimes	
Funeral arrangements			\boxtimes				
Housing assistance							
Mental health services							
Foster care							
Health care						\boxtimes	
Legal services							
Family planning							
Homemaking Services							
Parenting Skills							
Domestic Violence Services							
Early Intervention							
Alcohol/Substance abuse							
Child Care							
Intensive case management						\boxtimes	
Family or others as safety resources	\boxtimes						
Other						\boxtimes	

Were services provided to siblings or other children in the household to address any immediate needs and support their well-being in response to the fatality? Yes

Explain:

The teenage SM accepted and engaged in bereavement counseling.

Were services provided to parent(s) and other care givers to address any immediate needs related to the fatality? Yes

Explain:

The family received preventive services.

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History Prior to the Fatality

Child Information		
Did the child have a history of alleged child abuse/maltreatment?		Yes
Was the child ever placed outside of the home prior to the death?		No
Were there any siblings ever placed outside of the home prior to this child's death?		N/A
Was the child acutely ill during the two weeks before death?		Yes
Infants Under One Year	Old	
During pregnancy, mother:		
Had medical complications / infections	Had heavy alcoho	l use
Misused over-the-counter or prescription drugs	☐ Smoked tobacco	
Experienced domestic violence	Used illicit drugs	
Was not noted in the case record to have any of the issues listed		
Infant was born:		
☐ Drug exposed	☐ With fetal alcohol	effects or syndrome
With neither of the issues listed noted in case record		

CPS - Investigative History Three Years Prior to the Fatality

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome	Compliance Issue(s)
03/21/2020	Aunt/Uncle, Female, 9 Years	Mother, Female, 17 Years	Inadequate Guardianship	Unsubstantiated	No
	Aunt/Uncle, Female, 9 Years	Mother, Female, 17 Years	Inadequate Guardianship	Unsubstantiated	
	Aunt/Uncle, Male, 14 Years	Mother, Female, 17 Years	Inadequate Guardianship	Unsubstantiated	
	Deceased Child, Male, 3 Months	Mother, Female, 17 Years	Inadequate Guardianship	Unsubstantiated	
	Aunt/Uncle, Female, 15 Years	Mother, Female, 17 Years	Inadequate Guardianship	Unsubstantiated	
	Aunt/Uncle, Male, 9 Years	Mother, Female, 17 Years	Inadequate Guardianship	Unsubstantiated	
	Aunt/Uncle, Female, 9 Years	Father, Female, 20 Years	Inadequate Guardianship	Unsubstantiated	
	Aunt/Uncle, Female, 9 Years	Father, Female, 20 Years	Inadequate Guardianship	Unsubstantiated	
	Aunt/Uncle, Male, 14 Years	Father, Female, 20 Years	Inadequate Guardianship	Unsubstantiated	



Deceased Child, Male, 3 Months	1 ' '	Inadequate Guardianship	Unsubstantiated
Aunt/Uncle, Female, 15 Years	Father, Female, 20 Years	Inadequate Guardianship	Unsubstantiated
Aunt/Uncle, Male, 9 Years	l ' '	Inadequate Guardianship	Unsubstantiated

Report Summary:

The BF was spending the night at the SM's home when an argument ensued between the parents.

Report Determination: Unfounded Date of Determination: 05/21/2020

Basis for Determination:

ACS found no credible evidence that the parents' actions caused the children any physical, emotional, mental harm or placed the children at risk of abuse/maltreatment.

OCFS Review Results:

Investigation was appropriate.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome	Compliance Issue(s)
12/18/2018	Mother, Female, 16 Years	Grandparent, Female, 36 Years	Educational Neglect	Substantiated	No
	Mother, Female, 16 Years	Grandparent, Female, 36 Years	Lack of Medical Care	Substantiated	

Report Summary:

The teenage SM missed 72 days of school and she displayed mental health symptoms; however, the MGM failed to intervene.

Report Determination: Indicated Date of Determination: 02/15/2019

Basis for Determination:

The MGM obtained medical attention after ACS became involved and developed a plan with the school staff.

OCFS Review Results:

ACS' involvement yielded positive results.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome	Compliance Issue(s)
02/06/2017	Mother, Female, 14 Years	Grandparent, Female, 35 Years	Educational Neglect	Unsubstantiated	No
	Mother, Female, 14 Years	Grandparent, Female, 35 Years	Lack of Medical Care	Unsubstantiated	

Report Summary:

The teenage SM missed 18 days of school and was late 12 times that negatively affected her grades. The SM dressed inappropriately for the weather and was unkempt with dirty clothes and an odor. At times she was depressed, displayed bursts of anger or sadness.

Report Determination: Unfounded **Date of Determination:** 04/19/2017

Basis for Determination:

ACS wrote that during the investigation, the MGM was compliant and addressed the SM's mental health, and educational

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needs to improve absenteeism as the SM was deemed truant. The siblings were regarding the living conditions. The MGM addressed all concerns.	safe and t	he MGM	made imp	provements
OCFS Review Results:				
ACS supported the MGM and made appropriate referrals.				
Are there Required Actions related to the compliance issue(s)? Yes	No			
CPS - Investigative History More Than Three Years Pri	or to the F	otolity		
Cr5 - Investigative History More Than Three Tears I'll	or to the r	атапту		
Between 2005 and 2017, the MGM was listed as a subject in six reports. Of the allegations were a combination of EdN, IG, LMC and IF/C/S involving the SM household.		•		
Known CPS History Outside of NYS				
There was no known CPS History outside of NYS.				
Services Open at the Time of the Fa	tality			
Was the deceased child(ren) involved in an open preventive services case at Date the preventive services case was opened: 02/14/2019 Was the deceased child(ren) involved in an open Child Protective Services of Date the Child Protective Services case was opened: 02/14/2019			·	
Evaluative Review of Services that were Open at the Time	ne of the F	atality		
	ı		ı	
	Yes	No	N/A	Unable to Determine
Did the service provider(s) comply with the timeliness and content requirements for progress notes?				
Did the services provided meet the service needs as outlined in the case record?				
<u> </u>				
record?				
Did all service providers comply with mandated reporter requirements? Was there information in the case record that indicated the existence of behaviors or conditions that placed the children in the case in danger or increased their risk of harm?				
record? Did all service providers comply with mandated reporter requirements? Was there information in the case record that indicated the existence of behaviors or conditions that placed the children in the case in danger or				
Did all service providers comply with mandated reporter requirements? Was there information in the case record that indicated the existence of behaviors or conditions that placed the children in the case in danger or increased their risk of harm?		No	N/A	Unable to Determine
Did all service providers comply with mandated reporter requirements? Was there information in the case record that indicated the existence of behaviors or conditions that placed the children in the case in danger or increased their risk of harm?		No	N/A	
Did all service providers comply with mandated reporter requirements? Was there information in the case record that indicated the existence of behaviors or conditions that placed the children in the case in danger or increased their risk of harm? Casework Contacts Did the service provider comply with case work contacts, including face-to-face contact as required by regulations pertaining to the program choice?	Yes	No	N/A	
Did all service providers comply with mandated reporter requirements? Was there information in the case record that indicated the existence of behaviors or conditions that placed the children in the case in danger or increased their risk of harm? Casework Contacts Did the service provider comply with case work contacts, including face-to-face contact as required by regulations pertaining to the program	Yes	No	N/A	

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	Yes	No	N/A	Unable to Determine
Were services provided to siblings or other children in the household to address any immediate needs and support their well-being in response to the fatality?		\boxtimes		
Were services provided to parents as necessary to achieve safety, permanency, and well-being?				
Family Assessment and Service Plan (FAS	5P)			
	,			
	Yes	No	N/A	Unable to Determine
Was the most recent FASP approved on time?	\boxtimes			
Was there a current Risk Assessment Profile/Risk Assessment in the most recent FASP?	\boxtimes			
Was the FASP consistent with the case circumstances?	\boxtimes			
Closing				
	Yes	No	N/A	Unable to Determine
Was the decision to close the Services case appropriate?				
Provider				
	Yes	No	N/A	Unable to Determine
Were Services provided by a provider other than the Local Department of Social Services?	\boxtimes			
Additional information, if necessary: The family received PPRS. The MGM and all family members were engaged in DV and homemaker services. The SM received MH services.	n family c	ounseling	, case mar	nagement,
Preventive Services History				
ACS opened a preventive services case for the family on 2/14/19. The MGM end DV services. The services were initiated due to the SM not attending school and family received PPRS, case management and casework counseling, and the SM based agency	her displ	ay of errat	tic behavio	ors. The

Legal History Within Three Years Prior to the Fatality



Was there any legal activity within three years prior to the fatality investigation? There was no legal activity

Recommended Action(s)
Are there any recommended actions for local or state administrative or policy changes? Yes No
Are there any recommended prevention activities resulting from the review? ☐Yes ☒No